

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

176/61654(1247)

w 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/US2004/035065 22 October 2004 (22.10.2004) 22 October 2003 (22.10.2003) TITLE OF INVENTION USE OF PEROXISOME PROLIFERATOR-ACTIVATED RECEPTOR GAMMA (PPARy) AND/OR RETINOIC ACID RECEPTOR (RXR) AGONISTS TO INHIBIT PLATELET FUNCTIONS APPLICANT(S) FOR DO/EO/US PHIPPS, Richard P.; SIME, Patricia J. and BLUMBERG, Neil Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. X is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). has been previously submitted under 35 U.S.C. 154(d)(4). 7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. l Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. 14. X An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing butten, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP12 Rec'd PCT/PTO 24 APR 2006

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 176/61654(1247) PCT/US2004/035065 Other items or information: 20. UNSIGNED Combined Declaration and Power of Attorney The following fees have been submitted CALCULATIONS PTO USE ONLY 21. X 300.00 Examination fee (37 CFR 1.492(c)) 22. X If the written opinion prepared by ISAUS or the international prelminary examination report prepared 200.00 by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)...................\$0 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an 100.00 previously communicated to the US by the IB......\$400 All other situations.....\$500 600.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) 0.00 -30 70 - 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or dedaration \$ 130.00 after the date of commencement of the national stage (37 CFR 1.492(h)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE \$ 49 \$ Total claims - 20 = \$ 50 1,450.00 29 \$ Independent claims 5 - 3 = 2 \$200 400.00 х MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ 0.00TOTAL OF ABOVE CALCULATIONS = \$ 2,580.00 Applicant daims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. 1,290.00 SUBTOTAL = \$ 1,290.00 Processing fee of \$130.00 for furnishing the English translation Later than 30 months from the earliest \$ 0.00 claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ 1,290.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied 0.00 by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 1,290.00 Amount to be \$ refunded

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a. [X]	A check in the amount of \$ to cover the above fees	s is enclosed.	
b. 🗌	Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.	to cover the above fees.	
c. X	c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. A duplicate copy of this sheet is end osed.		
d. 🗆	Fees are to be charged to a credit card. WARNING : Information on this form mabe included on this form. Provide credit card information and authorization on		
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.			
SEND ALL CORRESPONDENCE TO: Edwin V. Merkel Nixon Peabody LLP Clinton Square P. O. Box 31051 Rochester, NY 14603-1051 United States of America SIGNATURE Edwin V. Merkel NAME 40,087 REGISTRATION NUMBER			

EXPRESS MAIL CERTIFICATE

DOCKET NO.: 176/61654

APPLICANT(S): PHIPPS et al.

TITLE: USE OF PEROXISOME PROLIFERATOR-ACTIVATED RECEPTOR GAMMA

(PPARY) AND/OR RETINOIC ACID RECEPTOR (RXR) AGONISTS TO

INHIBIT PLATELET FUNCTIONS

Certificate is attached to the Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) of the above-named application.

"EXPRESS MAIL" NUMBER: EV685739198US

DATE OF DEPOSIT: April 24, 2006

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Shawn A. Lockett

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing

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Certificate is attached to the Preliminary Amendment (10 pages) of the above-named application.

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Certificate is attached to the Information Disclosure Statement $\mbox{w/Form}$ SB-08 and PCT Search Report of the above-named application.

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Certificate is attached to the Application Data Sheet of the above-named application.

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(Signature of person mailing